

I - REGISTRANT

1. Name of Registrant
Thomas Michael Dow

2. Registration No.

6021

3. Principal business address
3655 NW 87th Avenue
Miami, FL 33178

4. If the registrant is an individual, furnish the following information:

- (a) Residence address(es) 1750 P Street, NW, PH-3
Washington, DC 20036
- (b) Other business address(es), if any N/A
- (c) Nationality USA
- (d) Year of birth 1950
- (e) Present citizenship USA
- (f) If present citizenship not acquired by birth, state when, where and how acquired
N/A
- (g) Occupation
Vice President of Public Affairs, Carnival Corporation & plc

NSD/CES/REGISTRATION UNIT
2011 JAN 28 PM 3:56

5. If the registrant is not an individual, furnish the following information:

- (a) Type of organization: Committee ☐ Association ☐ Partnership ☐ Voluntary group ☐
Corporation ☐ Other (specify) _____
- (b) Date and place of organization N/A
- (c) Address of principal office N/A
- (d) Name of person in charge N/A
- (e) Locations of branch or local offices N/A
- (f) If a membership organization, give number of members N/A

(g) List all partners, officers, directors or persons performing the functions of an officer or director of the registrant.

Name	Residence address(es)	Position	Nationality
N/A			

(h) Which of the above named persons renders service directly in furtherance of the interests of any of the foreign principals?
N/A

(i) Describe the nature of the registrant's regular business or activity.
N/A

(j) Give a complete statement of the ownership and control of the registrant.
N/A

6. List all employees who render services to the registrant directly in furtherance of the interests of any of the foreign principals in other than clerical, secretarial, or related or similar capacity.

Name	Residence address(es)	Nature of services
None		

II - FOREIGN PRINCIPAL

7. List every foreign principal¹ for whom the registrant is acting or has agreed to act.

Name of foreign principal	Principal address(es)
Carnival Corporation & plc	3655 NW 87th Avenue
	Miami, FL 33178

III - ACTIVITIES

8. In addition to the activities described in any Exhibit B to this statement, will you engage or are you engaging now in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

N/A

IV - FINANCIAL INFORMATION

9. (a) RECEIPTS - MONIES

During the period beginning 60 days prior to the date of your obligation to register² to the time of filing this statement, did you receive from any foreign principal named in Item 7 any contribution, income, or money either as compensation or for disbursement or otherwise? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each such foreign principal an account of such monies³.

Name of foreign principal	Date received	Purpose	Amount
See Attachment			

\$3,175,875

Total

¹ The term "foreign principal" includes a foreign government, foreign political party, foreign organization, foreign individual and, for the purpose of registration, an organization or an individual any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual.

² An agent must register within ten days of becoming an agent, and before acting as such.

³ A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. There is no printed form for this exhibit. (See Rule 201 (e).)

(b) RECEIPTS -THINGS OF VALUE

During the period beginning 60 days prior to the date of your obligation to register⁴ to the time of filing this statement, did you receive from any foreign principal named in Item 7 anything of value⁵ other than money, either as compensation, or for disbursement, or otherwise? Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose for which received
N/A			

10. (a) DISBURSEMENT-MONIES

During the period beginning 60 days prior to the date of your obligation to register⁶ to the time of filing this statement, did you spend or disburse any money in furtherance of or in connection with your activities on behalf of any foreign principal named in Item 7? Yes ☐ No ☒

If yes, set forth below in the required detail and separately for each such foreign principal named including monies transmitted, if any, to each foreign principal.

Date	To whom	Purpose	Amount
N/A			

(b) DISBURSEMENTS -THINGS OF VALUE

During the period beginning 60 days prior to the date of your obligation to register⁷ to the time of filing this statement, did you dispose of any thing of value⁸ other than money in furtherance of or in connection with your activities on behalf of any foreign principal named in Item 7? Yes ☐ No ☒

If yes, furnish the following information:

Date	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose in giving
N/A				

(c) DISBURSEMENTS -POLITICAL CONTRIBUTIONS

During the period beginning 60 days prior to the date of your obligation to register⁹ to the time of filing this statement, did you, the registrant, or any short form registrant, make any contribution of money or other thing of value from your own funds and on your own behalf in connection with an election to any political office or in connection with any primary election, convention, or caucus held to select candidates for any political office? Yes ☒ No ☐

If yes, furnish the following information:

Date	Amount or thing of value	Party or candidate	Identify location of election, convention, etc. if any
See Attachment			

4, 6, 7 and 9 See Footnote 2, on page 3.

5 and 8 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks", and the like.

V - INFORMATIONAL MATERIALS¹⁰

11. Will the activities of the registrant on behalf of any foreign principal include the preparation or dissemination of informational materials? Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

12. Identify each such foreign principal.
Carnival Corporation & plc

13. Has a budget been established or specified sum of money allocated to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount and for what period of time.
N/A

14. Will any public relations firms or publicity agents participate in the preparation or dissemination of such informational materials? Yes ☐ No ☒

If yes, furnish the names and addresses of such persons or firms.
N/A

15. Will your activities in preparing or disseminating informational materials include the use of any of the following:

- | | |
|---|---|
| <input type="checkbox"/> Radio or TV broadcasts | <input type="checkbox"/> Motion picture films |
| <input type="checkbox"/> Advertising campaigns | <input checked="" type="checkbox"/> Pamphlets or other publications |
| <input type="checkbox"/> Magazine or Newspaper articles | <input type="checkbox"/> Letters or telegrams |
| <input type="checkbox"/> Press releases | <input type="checkbox"/> Lectures or speeches |
| <input type="checkbox"/> Internet | <input type="checkbox"/> Other (specify): _____ |

16. Will the informational materials be disseminated among any of the following groups:

- | | |
|---|--|
| <input checked="" type="checkbox"/> Public officials | <input checked="" type="checkbox"/> Civic groups or associations |
| <input checked="" type="checkbox"/> Legislators | <input type="checkbox"/> Libraries |
| <input checked="" type="checkbox"/> Government agencies | <input type="checkbox"/> Educational groups |
| <input type="checkbox"/> Newspapers | <input type="checkbox"/> Nationality groups |
| <input type="checkbox"/> Editors | <input type="checkbox"/> Other (specify): _____ |

17. Indicate language to be used in the informational materials:

- | | |
|---|---|
| <input checked="" type="checkbox"/> English | <input type="checkbox"/> Other (specify): _____ |
|---|---|

¹⁰ The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXHIBITS AND ATTACHMENTS

18. (a) The following described exhibits shall be filed in triplicate with an initial registration statement:

Exhibit A- This exhibit, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal named in Item 7.

Exhibit B- This exhibit, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

(b) An Exhibit C shall be filed when applicable. This exhibit, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. A waiver of the requirement to file an Exhibit C may be obtained for good cause shown upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530. (See Rule 201(c) and (d).)

(c) An Exhibit D shall be filed when applicable. This exhibit, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal. (See Rule 201(e).)

VII - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Type or print name under each signature¹¹)

1-18-11

Tommy M. [Signature]

NSD/CES/REGISTRATION UNIT
2011 JAN 28 PM 3:56

¹¹ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

ATTACHMENT FOR:

Registration Statement – Question 9
Receipts-Monies

Name of Foreign Principal	Date Received	Purpose	Amount
Carnival Corporation & plc	November/December 2004	Salary	\$119,515
Carnival Corporation & plc	2005	Salary	\$641,390
Carnival Corporation & plc	2006	Salary	\$455,599
Carnival Corporation & plc	2007	Salary	\$471,838
Carnival Corporation & plc	2008	Salary	\$442,645
Carnival Corporation & plc	2009	Salary	\$336,107
Carnival Corporation & plc	2010	Salary	\$708,781
		TOTAL	\$3,175,875

HSD/CES/REGISTRATION UNIT
2011 JAN 28 PM 3:56

ATTACHMENT FOR:

Registration Statement – Question 10(c)
Disbursements-Political Contributions

DATE	AMOUNT	CANDIDATE/PARTY
1/31/05	\$5,000	International Council of Cruise Lines
3/14/05	\$500	David Vitter (R)
3/23/05	\$5,000	Princess Cruises & Tours
3/23/05	\$1,000	Don Young (R)
3/31/05	\$1,000	Maria Cantwell (D)
6/8/05	\$2,500	American Freedom PAC
6/17/05	\$1,000	John (Jimmy) Duncan Jr. (R)
6/30/05	\$1,000	Don Young
8/31/05	\$2,000	National Republican Senatorial Committee
9/1/05	\$500	Carolyn McCarthy (D)
9/7/05	\$1,000	Ted Stevens (R)
9/13/05	\$1,000	Bill Nelson (D)
9/30/05	\$900	Maria Cantwell (D)
12/7/05	\$500	Rich PAC (R)
2/2/06	\$2,000	American Freedom PAC
2/14/06	\$5,000	International Council of Cruise Lines
4/13/06	\$500	Don Young (R)
6/12/06	\$500	Robert Menendez (D)
6/26/06	\$500	Olympia Snowe (R)
6/30/06	\$500	Straight Talk America (R)
7/19/06	\$500	National Republican Congressional Committee
7/28/06	\$500	Louise Slaughter (D)
10/11/06	\$1,000	E Clay Shaw Jr (R)
2/8/07	\$5,000	Princess Cruise & Tours
2/19/07	\$250	John L Mica (R)
2/28/07	\$500	Barbara Boxer (D)
3/14/07	\$2,500	American Freedom PAC
3/23/07	\$1,000	John McCain (R)
3/27/07	\$5,000	Cruise Lines International Association
4/13/07	\$500	Corrine Brown (D)
4/30/07	\$500	Byron L Dorgan (D)
5/15/07	\$500	Max Baucus (D)
6/18/07	\$500	Bill Nelson (D)
6/18/07	\$500	Charles B Rangel (D)
6/26/07	\$1,000	John E Sununu (R)
6/28/07	\$1,000	Patty Murray (D)

ATTACHMENT FOR:

Registration Statement – Question 10(c)
Disbursements-Political Contributions

DATE	AMOUNT	CANDIDATE/PARTY
6/29/07	\$500	Mary L Landrieu (D)
8/4/07	\$500	James L Oberstar (D)
9/28/07	\$500	Jay Rockefeller (D)
10/9/07	\$500	Daniel K Inouye (D)
11/20/07	\$1,000	Ted Stevens (R)
11/27/07	\$500	Alcee Hastings (D)
1/16/08	\$300	Ted Stevens (R)
1/16/08	\$700	Ted Stevens (R)
2/4/08	\$500	John L Mica (R)
2/23/08	\$5,000	Princess Cruises & Tours
3/11/08	\$500	Bennie G Thompson (D)
3/20/08	\$5,000	Cruise Lines International Association
3/31/08	\$500	Corrine Brown (D)
4/8/08	\$1,000	John McCain (R)
5/16/08	\$500	Don Young (R)
5/30/08	\$1,000	Ted Stevens (R)
9/2/08	\$500	Mario Diaz-Balart (R)
9/11/08	\$500	Roy Blunt (R)
9/17/08	\$500	Barbara Boxer (D)
9/19/08	\$500	Ted Stevens (R)
9/22/08	\$500	James L Oberstar (D)
9/26/08	\$500	Don Young (R)
9/30/08	\$500	Thelma Drake (R)
2/25/09	\$500	Jay Rockefeller (D)
4/1/09	\$1,000	Patty Murray (D)
4/10/09	\$500	Maria Cantwell (D)
4/29/09	\$500	Bennie G Thompson (D)
6/30/09	\$500	Mario Diaz-Balart (R)
7/11/09	\$500	Elijah E Cummings (D)
8/7/09	\$500	David Vitter (R)
9/30/09	\$500	Don Young (R)
11/3/09	\$5,000	Cruise Lines International Association
12/14/09	\$1,000	Maria Cantwell (D)
2/2/10	\$5,000	Princess Cruises and Tours
3/23/10	\$5,000	Cruise Lines International Association
5/7/10	\$400	Patty Murray (D)
5/7/10	\$600	Patty Murray (D)
5/12/10	\$500	Harry Reid (D)
5/24/10	\$500	Don Young (R)

ATTACHMENT FOR:

Registration Statement – Question 10(c)
Disbursements-Political Contributions

DATE	AMOUNT	CANDIDATE/PARTY
6/21/10	\$500	Patty Murray (D)
6/30/10	\$500	Lisa Murkowski (I)
7/9/10	\$500	Majority in Congress PAC (R)
9/25/10	\$1,000	Patty Murray (D)

MSD/CES/REGISTRATION UNIT
2011 JAN 28 PM 3:56